

**Sidje, Roger B.; Saad, Yousef****Rational approximation to the Fermi-Dirac function with applications in density functional theory.** (English) [Zbl 1211.65026](#)[Numer. Algorithms 56, No. 3, 455-479 \(2011\).](#)

Summary: We are interested in computing the Fermi-Dirac matrix function in which the matrix argument is the Hamiltonian matrix arising from density functional theory (DFT) applications. More precisely, we are really interested in the diagonal of this matrix function. We discuss rational approximation methods to the problem, specifically the rational Chebyshev approximation and the continued fraction representation. These schemes are further decomposed into their partial fraction expansions, leading ultimately to computing the diagonal of the inverse of a shifted matrix over a series of shifts. We describe Lanczos and sparse direct methods to address these systems. Each approach has advantages and disadvantages that are illustrated with experiments.

**MSC:**

- 65D20 Computation of special functions and constants, construction of tables Cited in 3 Documents  
81Q05 Closed and approximate solutions to the Schrödinger, Dirac, Klein-Gordon and other equations of quantum mechanics  
65F05 Direct numerical methods for linear systems and matrix inversion  
33E20 Other functions defined by series and integrals  
33F05 Numerical approximation and evaluation of special functions

**Keywords:**

diagonal of matrix inverse; electronic structure calculations; density functional theory; continued fraction; numerical examples; Fermi-Dirac matrix function; Hamiltonian matrix; rational Chebyshev approximation; sparse direct methods

**Software:**[Expokit](#); [ITSOL](#); [PARSEC](#) ; [SPARSKIT](#)**Full Text:** DOI**References:**

- [1] Baer, R., Head-Gordon, M.: Chebyshev expansion methods for electronic structure calculations on large molecular systems. *J. Chem. Phys.* 107, 10003–10013 (1997) · [doi:10.1063/1.474158](#)
- [2] Bekas, C., Kokiopoulou, E., Saad, Y.: An estimator for the diagonal of a matrix. *Appl. Numer. Math.* 57, 1214–1229 (2007) · [Zbl 1123.65026](#) · [doi:10.1016/j.apnum.2007.01.003](#)
- [3] Bekas, C., Kokiopoulou, E., Saad, Y.: Computation of large invariant subspaces using polynomial filtered Lanczos iterations with applications in density functional theory. *SIAM J. Matrix Anal. Appl.* 30(1), 397–418 (2008) · [Zbl 1159.65319](#) · [doi:10.1137/060675435](#)
- [4] Bekas, C., Saad, Y., Tiago, M.L., Chelikowsky, J.R.: Computing charge densities with partially reorthogonalized Lanczos. *Comput. Phys. Commun.* 171(3), 175–186 (2005) · [doi:10.1016/j.cpc.2005.05.005](#)
- [5] Benzi, M., Razouk, N.: Decay bounds and O(N) algorithms for approximating functions of sparse matrices. *ETNA* 28, 16–39 (2007) · [Zbl 1171.65034](#)
- [6] Carpenter, A.J., Ruttan, A., Varga, R.S.: Extended numerical computations on the 1/9 conjecture in rational approximation theory. In: *Lecture Notes in Math.*, vol. 1105, pp. 383–411. Springer, Berlin (1984) · [Zbl 0553.41022](#)
- [7] Cody, W.J., Meinardus, G., Varga, R.S.: Chebyshev rational approximation to  $\langle \exp(-x) \rangle$  in  $[0, +\infty)$  and applications to heat conduction problems. *J. Approx. Theory* 2, 50–65 (1969) · [Zbl 0187.11602](#) · [doi:10.1016/0021-9045\(69\)90030-6](#)
- [8] Cullum, J., Willoughby, R.A.: *Lanczos Algorithms for Large Symmetric Eigenvalue Computations*, vols. 1 and 2. Birkhäuser, Boston (1985) · [Zbl 0574.65028](#)
- [9] Duff, I.S., Erisman, A.M., Reid, J.K.: *Direct Method for Sparse Matrices*. Clarendon Press, Oxford (1989) · [Zbl 0666.65024](#)
- [10] Filippini, A.: Continued fraction expansion for the X-ray absorption cross section. *J. Phys.: Condens. Matter* 3, 6489–6507

- (1991) · doi:10.1088/0953-8984/3/33/024
- [11] Goedecker, S.: Linear scaling electronic structure methods Rev. Mod. Phys. 71, 1085–1123 (1999) · doi:10.1103/RevModPhys.71.1085
- [12] Golub, G.H., Van Loan, C.F.: Matrix Computations, 3rd edn. Johns Hopkins University Press, Baltimore, MD (1996) · Zbl 0865.65009
- [13] Goncar, A.A., Rakhmanov, E.A.: On the rate of rational approximation of analytic functions. In: Lecture Notes in Math., vol. 1354, pp. 25–42. Springer, Berlin, Heidelberg (1988)
- [14] Hochbruck, M., Lubich, C., Selhofer, H.: Exponential integrators for large systems of differential equations. SIAM J. Sci. Comput. 19, 1552–1574 (1998) · Zbl 0912.65058 · doi:10.1137/S1064827595295337
- [15] Jay, L.O., Kim, H., Saad, Y., Chelikowsky, J.R.: Electronic structure calculations using plane wave codes without diagonalization. Comput. Phys. Commun. 118, 21–30 (1999) · Zbl 1001.65038 · doi:10.1016/S0010-4655(98)00192-1
- [16] Kronik, L., Makmal, A., Tiago, M., Alemany, M.M.G., Huang, X., Saad, Y., Chelikowsky, J.R.: PARSEC—The pseudopotential algorithm for real-space electronic structure calculations: recent advances and novel applications to nanostructures. Phys. Stat. Solidi B (Feature Article) 243, 1063–1079 (2006). <http://parsec.ices.utexas.edu> · doi:10.1002/pssb.200541463
- [17] Lanczos, C.: An iteration method for the solution of the eigenvalue problem of linear differential and integral operators. J. Res. Natl. Bur. Stand. 45, 255–282 (1950)
- [18] Larsen, R.M.: Efficient algorithms for Helioseismic inversion. Ph.D. thesis, Dept. Computer Science, University of Aarhus, DK-8000 Aarhus C, Denmark (1998)
- [19] Nour-Omid, B.: Applications of the Lanczos algorithm. Comput. Phys. Commun. 53, 157–168 (1989) · Zbl 0798.65073 · doi:10.1016/0010-4655(89)90157-4
- [20] Nour-Omid, B., Clough, R.W.: Dynamic analysis of structures using Lanczos coordinates. Earthquake Eng. Struct. Dyn. 12, 565–577 (1984) · doi:10.1002/eqe.4290120410
- [21] Ozaki, T.: Continued fraction representation of the Fermi–Dirac function for large-scale electronic structure calculations. Phys. Rev. B 75, 035123(9) (2007) · doi:10.1103/PhysRevB.75.035123
- [22] Paige, C.C.: The computation of eigenvalues and eigenvectors of very large sparse matrices. Ph.D. thesis, London University, Institute of Computer Science, London, England (1971)
- [23] Parlett, B.N.: A new look at the Lanczos algorithm for solving symmetric systems of linear equations. Linear Algebra Appl. 29, 323–346 (1980) · Zbl 0431.65016 · doi:10.1016/0024-3795(80)90248-7
- [24] Haydock, V.H.R., Kelly, M.J.: Electronic structure based on the local atomic environment for tight-binding bands: II. J. Phys.: Solid State Phys. 8, 2591–2605 (1975) · doi:10.1088/0022-3719/8/16/011
- [25] Saad, Y.: SPARSKIT: a basic tool kit for sparse matrix computations. Technical Report RIACS-90-20, Research Institute for Advanced Computer Science, NASA Ames Research Center, Moffett Field, CA (1990)
- [26] Saad, Y.: Analysis of some Krylov subspace approximations to the matrix exponential operator. SIAM J. Numer. Anal. 29, 209–228 (1992) · Zbl 0749.65030 · doi:10.1137/0729014
- [27] Saad, Y.: Numerical Methods for Large Eigenvalue Problems. Halstead Press, New York (1992) · Zbl 0991.65039
- [28] Saad, Y.: Iterative Methods for Sparse Linear Systems, 2nd edn. SIAM, Philadelphia, PA (2003) · Zbl 1031.65046
- [29] Sidje, R.B., Stewart, W.J.: A numerical study of large sparse matrix exponentials arising in Markov chains. Comput. Stat. Data Anal. 29(3), 345–368 (1999) · Zbl 1042.65508 · doi:10.1016/S0167-9473(98)00062-0
- [30] Sidje, R.B.: EXPokit: a software package for computing matrix exponentials. ACM Trans. Math. Softw. 24(1), 130–156 (1998). <http://www.expokit.org> · Zbl 0917.65063 · doi:10.1145/285861.285868
- [31] Simon, H.D.: The Lanczos algorithm with partial reorthogonalization. Math. Comput. 42(165), 115–142 (1984) · Zbl 0546.65017 · doi:10.1090/S0025-5718-1984-0725988-X
- [32] Trefethen, L.N.: Rational Chebyshev approximation on the unit disk. Numer. Math. 37(2), 297–320 (1981) · Zbl 0443.30046 · doi:10.1007/BF01398258
- [33] Trefethen, L.N., Gutknecht, M.H.: The Carathéodory–Féjer method for real rational approximation. SIAM J. Numer. Anal. 20(2), 420–436 (1983) · Zbl 0526.41022 · doi:10.1137/0720030
- [34] Varga, R.S.: Scientific computation on mathematical problems and conjectures. In: CBMS-NSF, Regional Conference Series in Applied Mathematics, vol. 60. SIAM, Philadelphia (1990) · Zbl 0703.65004

This reference list is based on information provided by the publisher or from digital mathematics libraries. Its items are heuristically matched to zbMATH identifiers and may contain data conversion errors. It attempts to reflect the references listed in the original paper as accurately as possible without claiming the completeness or perfect precision of the matching.